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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

☐ Declaration
Submitted
With Initial
Filing

OR

☒ Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16 (e))
required)

Attorney Docket Number	11321-P074WOUS
First Named Inventor	Enrique V. Barrera et al.
COMPLETE IF KNOWN	
Application Number	10/576,134
Filing Date	July 28, 2004
Art Unit	Unknown
Examiner Name	Unknown

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

SIDEWALL FUNCTIONALIZATION OF CARBON NANOTUBES WITH ORGANOSILANES FOR POLYMER COMPOSITES

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒

was filed on (MM/DD/YYYY)

July 28, 2004

as United States Application Number or PCT International

Application Number PCT/US04/24338 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
N/A			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

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This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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DECLARATION — Utility or Design Patent Application

Direct all correspondence to: <input checked="" type="checkbox"/> The address associated with Customer Number: 61060		OR <input type="checkbox"/> Correspondence address below	
Name _____			
Address _____			
City _____		State _____	ZIP _____
Country _____	Telephone _____		Email _____
WARNING:			
<p>Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.</p>			
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>			
NAME OF SOLE OR FIRST INVENTOR: _____		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any]) _____		Family Name or Surname _____	
Enrique V. _____		Barrera	
Inventor's Signature <i>Enrique V. Barrera</i>		Date <i>04-16-2007</i>	
Residence: City Houston	State TX	Country US	Citizenship US
Mailing Address 2514 Dryden			
City Houston	State TX	Zip 77025	Country US
<input checked="" type="checkbox"/> Additional inventors or a legal representative are being named on the 2 _____ supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.			

DECLARATION	ADDITIONAL INVENTOR(S) Supplemental Sheet
Page <u>3</u> of <u>4</u>	

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Jiang		Zhu	
Inventor's Signature 		Date <u>5-2-2007</u>	
Houston Residence: City	TX State	US Country	CN Citizenship
11675 W. Bellfort, No. 1224			
Mailing Address			
Houston City	TX State	77099 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Lei		Zhang	
Inventor's Signature 		Date <u>04-25-2007</u>	
Houston Residence: City	TX State	US Country	CN Citizenship
8282 Cambridge, No. 504			
Mailing Address			
Houston City	TX State	77054 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Valery N.		Khabasheshku	
Inventor's Signature		Date	
Houston Residence: City	TX State	US Country	RU Citizenship
2421-B Dorrington			
Mailing Address			
Houston City	TX State	77030 Zip	US Country

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Page <u>3</u> of <u>4</u>	

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Jiang		Zhu	
Inventor's Signature		Date	
Houston Residence: City	TX State	US Country	CN Citizenship
11675 W. Bellfort, No. 1224			
Mailing Address			
Houston City	TX State	77099 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Lai		Zhang	
Inventor's Signature		Date	
Houston Residence: City	TX State	US Country	CN Citizenship
8292 Cambridge, No. 804			
Mailing Address			
Houston City	TX State	77054 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Valery N.		Khabashchev	
Inventor's Signature		Date	
<i>V.N. Khabashchev</i>		04/16/07	
Houston Residence: City	TX State	US Country	RU Citizenship
2421-B Derrington			
Mailing Address			
Houston City	TX State	77030 Zip	US Country

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DECLARATION


ADDITIONAL INVENTOR(S) Supplemental Sheet

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Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
John L.		Margrave	
Margrave, Deceased, by and through his legal representative, Mary Lou Margrave			
Inventor's Signature		Date	
<i>John L. Margrave Estate By Mary Lou Margrave, Executor</i>		April 26, 2007	
Residence: City	TX State	US Country	US Citizenship
4511 Verone			
Mailing Address			
Residence: City	TX State	77401 Zip	US Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Jong Dae		Kim	
Inventor's Signature		Date	
Seoul		South Korea	
Residence: City	State	Country	KR Citizenship
665-5 Ilwongdong, Kangnam 4			
Mailing Address			
Residence: City	State	135-230 Zip	South Korea Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
City	State	Zip	Country

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DECLARATION		ADDITIONAL INVENTOR(S) Supplemental Sheet	
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Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
John L.		Hargrove, Deceased, by and through his legal representative, Mary Lou Hargrove	
Inventor's Signature		Date	
Bellevue	TX State	US Country	US Citizenship
Residence: City			
4811 Verone			
Mailing Address			
Bellevue	TX State	77401 Zip	US Country
City			
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Jong Dee		Kim	
Inventor's Signature		Date	
		30 APR 2007	
Seoul	State	South Korea Country	KR Citizenship
Residence: City			
665-5 Ilwongdong, Kengnang 4			
Mailing Address			
Seoul	State	135-230 Zip	South Korea Country
City			
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
City	State	Zip	Country

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